



FORM CRS

CLIENT RELATIONSHIP SUMMARY

1919 Decatur St.
Houston, TX 77009
info@cap-six.com

Item 1. - Introduction

Cap Six Advisors, LLC (“we” or “us” or “Cap Six”) is an investment adviser registered with the U.S. Securities and Exchange Commission (“SEC”). Investment advisers and broker-dealers offer different services and charge different fees, and it is important for you to understand the differences. Free and simple tools on the SEC’s investor education website (www.investor.gov/CRS) can help you research firms and financial professionals and learn more about broker-dealers, investment advisers, and investing. For more information about us, visit www.adviserinfo.sec.gov.

Item 2. – Relationship and Services

WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

We offer discretionary or non-discretionary investment management services that are provided based on your financial circumstances and needs. When we manage your assets on a discretionary basis, we have the authority to make investment decisions and buy and sell securities on your behalf without asking you in advance. Given that our discretionary investment management services are based on the investment strategies, our advice is generally limited to certain types of investments including individual equity securities although we may employ other types of investments as appropriate. When we manage your assets on a non-discretionary basis, you make the ultimate decision whether you buy or sell securities we recommend.

We also provide access to our investment strategies where we will provide model portfolio information relating to such investment strategies to third-party advisers who are then responsible for implementing them on behalf of their clients. We do not manage the assets when solely providing model portfolio information to other advisors. However, in some cases we may monitor the third-party adviser’s use of the model to ensure accuracy and adherence to certain investment guidelines.

When we provide investment management services, we monitor your investments on an ongoing basis, and account reviews are conducted periodically. To provide investment management services, we generally require clients to have a minimum amounts of assets under our management which, for non-institutional investors, is set at \$100,000 and for institutional investors is set at \$1,000,000. For more information about our services, see Item 4 of our disclosure brochure, available at www.adviserinfo.sec.gov.

Conversation Starters: Here are some additional questions you can ask us to learn more about our services:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

Item 3. – Fees, Costs, Conflicts, and Standard of Conduct

WHAT FEES WILL I PAY?

For our investment management and model portfolio delivery services, we generally charge you fees based on the amount of assets we manage on your behalf. These fees may be up to 0.72%. We generally charge those fees each quarter before services are rendered for the quarter. When we manage your assets directly, we generally deduct our fees directly from your account.

In addition to our fees, third parties charge fees in connection with our services including, as applicable, securities brokerage commissions, other transaction costs, custodial fees, reporting fees, account management/servicing fees, deferred sales charges, odd-lot differentials, transfer taxes, wire transfer and electronic fund fees, and other fees and taxes on brokerage accounts and securities transactions. If you access us through a wrap fee program, you will pay fees charged by the wrap program sponsor. Those details will be in your contract with the sponsor and depending on the strategy and amount you invest you may be charged more through the wrap fee program than if you use our investment management services directly.

Because we charge fees based on the amount of assets we manage, we receive more fees when you invest more assets with us. It may be in our best interest to encourage you to increase the assets in your account.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Conversation Starters: Here are some additional questions you can ask us about our fees:

- Help me understand how your fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN ACTING AS MY INVESTMENT ADVISER? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?

When we act as your investment adviser, we must act in your best interests and cannot put our interests ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means:

- Custodians provide products, services and other benefits to independent investment advisers at a discount or no charge. These include access to trading capabilities, electronic communications, practice management tools, record keeping, and software. We receive these products, services and other benefits from custodians that hold our clients' assets. This creates an incentive to recommend that clients use these custodians and presents a conflict of interest.
- We have an incentive to devote more time to clients with a substantial amount of assets under management.

Conversation Starter: Here are some additional questions you can ask us about the conflicts of interest we face:

- How might your conflicts of interest affect me, and how will you address them?

Additional information related to conflicts of interest may be found throughout our Firm Brochure and specifically in Item 11.

HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?

Our financial professionals are equity owners in the company. The distribution payments are based on a variety of factors, such as the performance of Cap Six's business and the growth in Cap Six's revenue or assets under management. The receipt or potential receipt of compensation may influence the advice or recommendations that our financial professionals make to clients. Our financial professionals do not receive any type of transaction-based compensation.

Item 4. – Disciplinary History

DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

No. A free and simple search tool to research us and our financial professionals can be found at Investor.gov/CRS

Conversation Starter: Here are some additional questions you can ask about our disciplinary history:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

Item 5. – Additional Information

Please visit <http://www.cap-six.com> for more information about our products and services, including a copy of this relationship summary. To request up-to-date information or a copy of this relationship summary, call Investor Relations at 281-671-7982 or email us at info@cap-six.com.

Conversation Starters: Here are some additional questions you can ask us if you need to reach us:

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?

